533 Rec'd PCT/PTO 1 7 JUE 2 2002

FOR	M PTO-139O (I	Modified) U.S. DEPARTMENT OF Co	OMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NU	MSER			
(REV	TRA	ANSMITTAL LETTER TO	THE UNITED STATES	084335-015	<b>Ä</b>			
		<b>ESIGNATED/ELECTED</b>			(C)			
	C	ONCERNING A FILING I	UNDER 35 U.S.C. 371		ଓଡ଼ିଶ୍ୱର ହେଉ । 			
П				U.S. APPLICATION NO. (If known, see 3 10/030,226	iBSC.F.R. 1.5)			
	ERNATIC PCT/JP00		NTERNATIONAL FILING DATE 07/07/2000	PRIORITY DATE CLAIMED 07/08/1999	e de la companya de l			
		VENTION	IO AND THE CENES ENCODING	THE BROTEING	863 B 617 251			
		S) FOR DO/EO/US	IS AND THE GENES ENCODING	THE PROTEINS	FC: 151			
	Norivuki <sup>°</sup>	MORIKAWA, Yasuhiko MASUH	HO, Toshio OTA, Takao ISOGAI	Tetsuo NISHIKAWA and				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States Designated (Elected Office (DO/EO/US)) the following items and other into the United States (Elected Office (DO/EO/US)) the following items and other into the United States (Elected Office (DO/EO/US)) the following items and other into the United States (Elected Office (DO/EO/US)) the following items are the United States (Elected Office (DO/EO/US)) the United States (Elected Office (Elected O								
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.		This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).						
4.		A proper Demand for International Preliminary Examination was made by the 19 <sup>th</sup> month from the earliest claimed priority date.						
5.	<b>-</b>							
is transmitted herewith (required only if not transmitted by the International Buhas been transmitted by the International Bureau.								
	٠	<del></del>	pplication was filed in the United St	tates Receiving Office (RO/	JS)			
6.	ī	A translation of the International Application into English (35 U.S.C. 371(c)(2)).						
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
	are transmitted herewith (required only if not transmitted by the International Bureau).							
			y the International Bureau. wever, the time limit for making su	ch amendments has NOT e	expired.			
have not been made; however, the time limit for making such amendments has NOT expired.  nave not been made and will not be made.					Apirou.			
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	$\boxtimes$	An oath or declaration of the inv	ventor(s) (35 U.S.C. 371(c)(4)).					
10.		A translation of the annexes to t 371(c)(5)).	the International Preliminary Exam	nination Report under PCT A	Article 36 (35 U.S.C.			
11.		Applicant claims small entity	status under 37 CFR 1.27 .					
iten	ns 12. to 1	<ol><li>below concern other document</li></ol>	nt(s) or information included:					
12.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO\SB\O8.						
13.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included						
14.		A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.						
15.		A substitute specification.						
16.		A change of power of attorney and/or address letter.						
17.  Other items or information: Amendment in Response to Notice Under 37 C.F.R. §1.821-§1.825 (3				25 (3 pgs.); Response				
	e and/or Amino Acid							
			Statement in Support Filing and					
		§1.825 (2 pgs.); Paper Copy of copy of diskette	f Sequence Listings (14 pgs.); Cor	nputer Readable Form (CRI	ר) Diskeπe and paper			
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TTORNEY'S DOCKET U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50 INTERNATIONAL APPLICATION NO. PCT/JP00/04549 084335-015 10/030,226 PTO USE ONLY 18. 

☐ The following fees are submitted: CALCULATION Basic National Fee (37 CFR 1.492(a)(1)-(5): Adjustment date: 12/08/200| SNAJARKO BBBBBB Search Report has been prepared by the EPO or JPO.....\$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2) .....\$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor FC:154 International search fee (37 CFR 1.445(a)(2)) paid to USPTO ...... \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$100.00 \$0.00 ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 fc: furnishing the oath or declaration later than 30 \$130.00 Months from the earliest claimed priority date (37 CFR 1.492(e)) Claims Number Filed Included in Basic Extra Rate Claims Fee Total Claims 20 = \$18.00 \$0.00 \$84.00 \$0.00 Independent 3 0 Claims Multiple dependent claim(s) (if applicable) \$280.00 \$0.00 \$130.00 TOTAL OF ABOVE CALCULATIONS Reduction by ½ for filing by small entity, if applicable. \$0.00 Petition for a one-month Extension of Time \$110.00 SUBTOTAL \$240.00 Processing fee of \$130.00 for furnishing English translation later the 30 \$0.00 months from the earliest claimed priority date (37 CFR 1.492(f). \$240.00 TOTAL NATIONAL FEE Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$0.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property ÷ TOTAL FEES ENCLOSED = \$240.00 Amount to be: -07/20/2002 GINTARRO 00000045 10010024 refunded charged A check in the amount of \$240:00 to cover the above fees is enclosed. Please charge my Deposit Account No. 19-0741 in the amount of \$\_\_\_\_\_ b. 🔲 to the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any с. 🖂 overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: SIGNATURE Foley & Lardner Customer Number: 22428 REGISTRATION NUMBER 35,264 Rog. No. 38,819 PATENT TRADEMARK OFFICE



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	TTA	Y. DOCKET NO.	
10/030,226	Noriyuki Morikawa	08	084335-0154 INTERNATIONAL APPLICATION NO. PCT/JP00/04549	
	Γ	INTERNATIONAL AP		
	_	PCT/JP00/		
22428		I.A. FILING DATE	PRIORITY DATE	
FOLEY AND LARDNER	-	07/07/2000	07/08/1999	

22428 FOLEY AND LARDNER SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

CONFIRMATION NO. 9242 371 FORMALITIES LETTER

\*OC000000007880306\*

Date Mailed: 04/17/2002

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

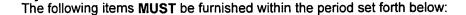
- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- · Copy of the International Search Report
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing,"
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

# **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

## **BARBARA A CAMPBELL**

Telephone: (703) 305-3631

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,226	PCT/JP00/04549	084335-0154

FORM PCT/DO/EO/905 (371 Formalities Notice)